

# Beneficial Ownership Information List(Sample)

## ~In the case of direct holding~

((Japanese Industrial Standards, Column A, No. 4))

### Beneficial Ownership Information List

(Trade name) *Daiichi Denki Kiki Co., Ltd.* (Corporate identification number) *0000-00-000000*

(Head office) *1-2-1, Nihonbashi-Kayabacho, Chuo-ku, Tokyo*

(Date created) *October 1, 2022* (Created by (Representative (person))) *Taro Houmu*

The following information is the current beneficial ownership information for *October 1, 2022*.

Reasons applicable to the beneficial owner (✓ in □ on the left side of either (1) or (2)) (\*1)

- (1) A natural person who directly or indirectly holds more than 50% of the total voting rights of the company (except in cases where it is obvious that this person does not have the intention or ability to substantially control the business management of the relevant company.): See Article 11, paragraph (2), item (i) of the Ordinance for Enforcement of the Act on Prevention of Transfer of Criminal Proceeds (hereinafter referred to as the "ordinance").
- (2) If there is no person falling under (1), a natural person who directly or indirectly holds more than 25% of the total number of voting rights of the company (except where it is obvious that this person does not have the intention or ability to substantially control the management of the business of the relevant company or where another person directly or indirectly holds more than 50% of the total voting rights of the company.): See Article 11, paragraph (2), item (i) of the ordinance

Identifying matters, etc. of the beneficial owner, etc. (※2. ※3)

Number.1	Residence	<i>1-21-5 Mejirodai, Bunkyo-ku, Tokyo</i>	Nationality, etc.	Japan/other (※4)	Voting rights Ratio	51 % (Indirect holdings) Yes/No (*5) <small>*If yes, a diagram of the controlling relationship is provided on a separate sheet.</small>
	Furigana	<i>Taro Houmu</i>	Date of birth	(Showa / Heisei / , Western calenda) <i>December 18th, in Showa 56th</i>		
	Name (※6)	<i>Taro Houmu</i>	Attachment regarding the applicability for the beneficial owner	<i>Copy of the shareholder register of the requesting company</i>		
			Certification of identity confirmation of the beneficial owner	<i>Copy of driver's license</i>		
Number.2	Residence		Nationality, etc.	Japan/other (※4)	Voting rights Ratio	% (Indirect holdings) Yes/no (*5) <small>*If yes, a diagram of the controlling relationship is provided on a separate sheet.</small>
	Furigana		Date of birth	(Showa / Heisei / , Western calenda)		
	Name (※6)		Attachment regarding the applicability for the beneficial owner			
			Certification of identity confirmation of the beneficial owner			
Number.3	Residence		Nationality, etc.	Japan/other (※4)	Voting rights Ratio	% (Indirect holdings) Yes/no (*5) <small>*If yes, a diagram of the controlling relationship is provided on a separate sheet.</small>
	Furigana		Date of birth	(Showa / Heisei / , Western calenda)		
	Name (※6)		Attachment regarding the applicability for the beneficial owner			
			Certification of identity confirmation of the beneficial owner			

\*If a certificate of acceptance and certification of declaration is submitted as an attachment regarding the applicability for the Beneficial Owner, the name of the identification document included as an attached document to the certificate may be listed in the "Certification of identity confirmation of the Beneficial Owner" column.

\*1. The calculation of 50% in (1) and 25% in (2) is based on the total of the following percentages (Article 11, paragraph (3) of the ordinance).

(1) Ratio of the voting rights of the company held by such natural person to the total voting rights of the company.

(2) Ratio of the voting rights of the company held by the controlling corporation of such natural person in question (meaning a juridical person in which the said natural person holds more than 50% of the total number of its voting rights. In this case, the said natural person, and one or more of its controlling corporations or other corporations in which one or more of the said natural persons or one or more of its controlling corporations have voting rights exceeding 50% of the total voting rights shall be deemed to be the controlling corporation of the said natural person) to the total voting rights of the company.

\*2. In the "Residence/Name" column, enter one person in the case of (1), or all applicable persons in the case of (2).

\*3. Since listed companies and their subsidiary companies are regarded as natural persons according to Article 11, paragraph (4) of the ordinance, enter their "Address/Name" in the "Residence/Name" column of the above natural persons.

\*4. In the "Nationality, etc." column, circle "Japan" in parentheses if the applicant has Japanese nationality, or circle "Other" in parentheses if the applicant does not have Japanese nationality, and enter the specific name of the country in parentheses.

\*5. Circle "Yes" if all or part of the voting rights are held indirectly, or "No" if all or part of the voting rights are held directly.

\*6. The names of foreign nationals should be written in the alphabet (for names of foreigners from kanji-speaking countries, the alphabet may be used in combination with kanji), and the furigana should be written in katakana.